

# YANGYANG LI

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## EDUCATION

### American University, Kogod School of Business

Washington, DC

*Mater of Science in Finance, Specialization in Analytics (STEM)*

GPA: 4.00

August 2023 – May 2025

Courses: Financial Modeling, Quant Methods/Data Analysis, Investment Analysis and Portfolio Management

### University of South Florida, Muma College of Business

Tampa, FL

*MBA student*

August 2022 – May 2023

GPA: 4.00

Courses: Managerial Decision Analysis, Data Analytics, Economic Concepts, Accounting Concepts for Managers

### Ocean University of China, Law School

Qingdao, China

*Juris Master*

September 2015 – June 2017

GPA: 3.72

Rewards: First-Class Academic Scholarship, 09/2016; Third-Class Academic Scholarship, 09/2015

### Ocean University of China, Law School

Qingdao, China

*Bachelor of Law*

September 2011 – June 2015

GPA: 3.20

Rewards: Outstanding Student Journalist, 06/2014; Social Practice Scholarship, 09/2013

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## WORK EXPERIENCE

### Bank of Beijing Scotiabank Asset Management Co., Ltd.

Beijing, China

*Compliance Manager*

March 2020 – March 2022

- Conducted client data and behavioral analysis to inform risk assessment and financial strategies
- Improved AML system and model accuracy by 30% through quantitative analysis and model design
- Monitored fund performance indicators, ensuring compliance; delivered targeted compliance training and consultancy across departments
- Directed legal compliance for the Shanghai branch setup and started operations ahead of projected timeline

### Haier Group Finance Co., Ltd.

Qingdao, China

*Compliance Manager*

July 2017 – February 2020

- Led the development of financial products like loans and mortgages, ensuring customer alignment and 100% compliance
  - Set up and optimized the firm's policy system, conducted cross-functional risk assessments, reducing risks and improving operational efficiency
  - Identified and mitigated legal and compliance risks; audited Hong Kong subsidiary, providing 15+ key risk-reduction recommendations
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## SKILLS AND INTERESTS

**Computer:** Data Analysis, R Coding, Python Coding, Microsoft Office Suite

**Language:** English (*proficient*), Chinese (*native*)

**Interests:** Swimming, Skiing, Scuba diving, Cooking

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## CERTIFICATIONS

- Bloomberg Market Concepts Certificate, Bloomberg, 09/2023
- Fund Qualification Certificate, Asset Management Association of China, 10/2020
- Legal Professional Qualification Certificate, Ministry of Justice of China, 02/2017
- Securities Qualification Certificate, Securities Association of China, 06/2016
- Intellectual Property Volunteer, Qingdao Intellectual Property Bureau, 07/2015